

# New Blockcipher Modes of Operation with Beyond the Birthday Bound Security

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March 17, 2006

Fast Software Encryption, FSE 2006, Graz, Austria, March 15–17, 2006

# Blockcipher Modes

Algorithms that provide

- privacy (encryption mode)
- authenticity (MAC)
- privacy and authenticity (AE mode)
- ...

based on blockciphers.

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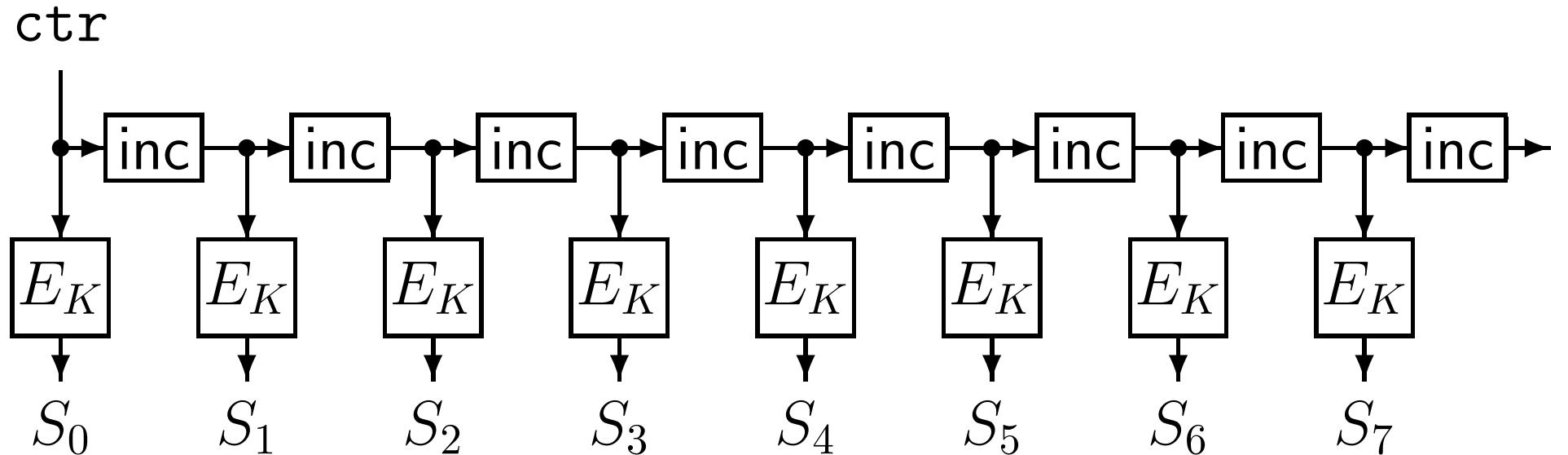
- ▷ privacy (encryption mode)
- authenticity (MAC)
- ▷ privacy and authenticity (AE mode)
- ...

based on blockciphers.

# Known Encryption Modes

- ▷ CTR
- CBC
- OFB
- CFB
- ECB
- ...

# CTR



- $S = (S_0, S_1, \dots, S_7)$ : keystream
- Encryption:  $C = M \oplus S$
- Decryption:  $M = C \oplus S$

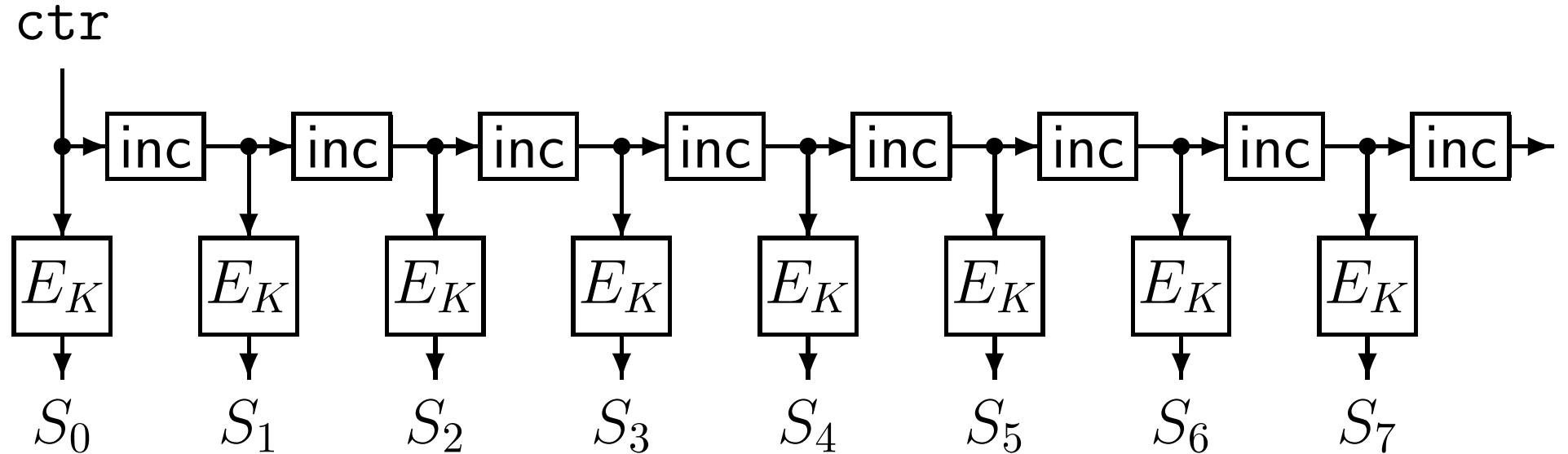
# Advantages of CTR

- provable security
- security proofs with the standard PRP assumption
- highly efficient
- single blockcipher key
- fully parallelizable
- allows precomputation of keystream
- allows random access

# Security Definition

- “Indistinguishability from random strings”  
(Rogaway, Bellare, Black, Krovetz, ’03)
- Scenario: Adaptive chosen plaintext attack
- Goal: To distinguish between
  - “real ciphertext”
  - “truly random string”  
(of the same length as ciphertext)

# Keystream Generation Part of CTR



$S_i \neq S_j$  since  $E_K(\cdot)$  is a permutation.

# Keystream Generation Part of CTR

- If  $S = (S_0, \dots, S_{\sigma-1})$  is the keystream of CTR,

$$\Pr(S_i = S_j) = 0.$$

- If  $S = (S_0, \dots, S_{\sigma-1})$  is the truly random string,

$$\frac{0.3\sigma(\sigma - 1)}{2^n} \leq \Pr(S_i = S_j) \leq \frac{0.5\sigma(\sigma - 1)}{2^n}.$$

( $n$ : length of  $S_i$  in bits, block size of  $E$ )

# Keystream Generation Part of CTR

- For any  $A$ ,  $\mathbf{Adv}_{\text{CTR}}^{\text{priv}}(A) \leq \frac{0.5\sigma(\sigma - 1)}{2^n}$ .

## Birthday Bound

- There exists  $A$  s.t.  $\mathbf{Adv}_{\text{CTR}}^{\text{priv}}(A) > \frac{0.3\sigma(\sigma - 1)}{2^n}$ .
  - ▷  $A$  guesses “random string” if there is a collision.
  - ▷ Otherwise  $A$  guesses “ciphertext of CTR.”

# Security of CTR

CTR can **NOT** have beyond the birthday bound security (as long as  $E_K(\cdot)$  is a permutation).

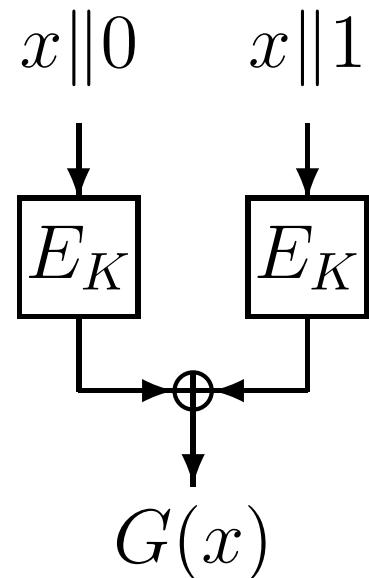
# Our Work: New Encryption Mode

CENC . . . Cipher-based **EN**Cryption

**beyond** the birthday bound security  
**without** breaking advantages of CTR

# The Basic Idea

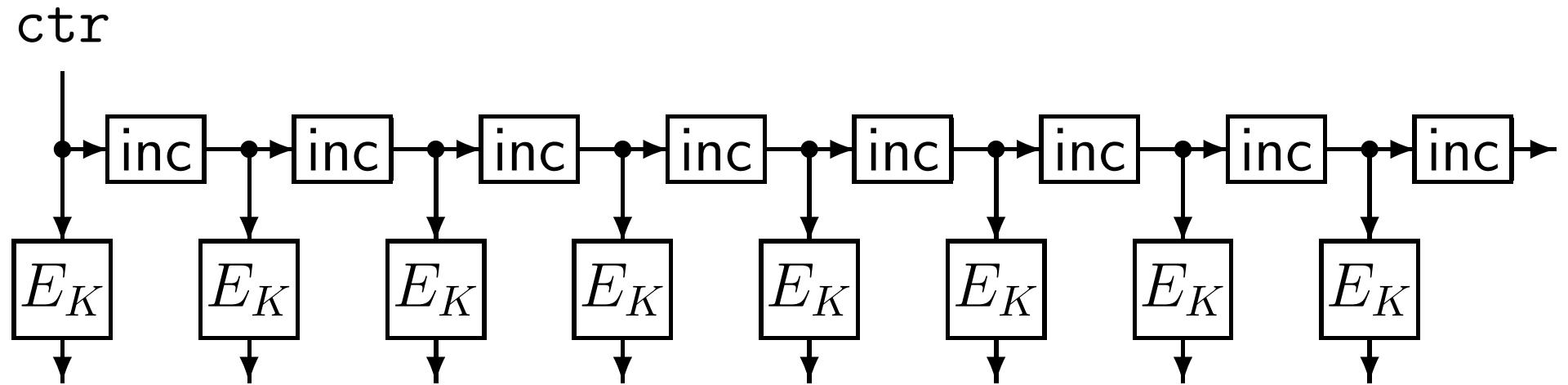
- Convert  $E_K(\cdot)$  into a function.
- $G_K(x) = E_K(x\|0) \oplus E_K(x\|1)$ ,  $x \in \{0, 1\}^{n-1}$   
(Lucks '00, Bellare and Impagliazzo '99)



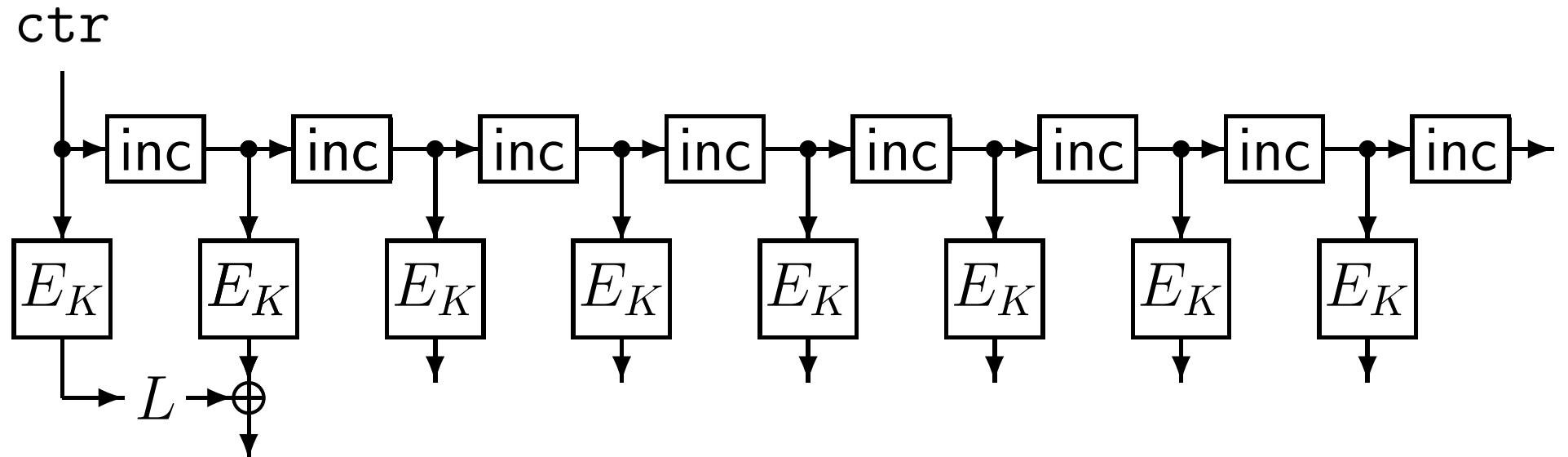
# CENC Parameters

- Blockcipher  $E : \{0, 1\}^k \times \{0, 1\}^n \rightarrow \{0, 1\}^n$
- Nonce length:  $\ell_{\text{nonce}}$  bits,  $\ell_{\text{nonce}} < n$
- Frame width:  $w$

# Keystream Generation Part of CENC

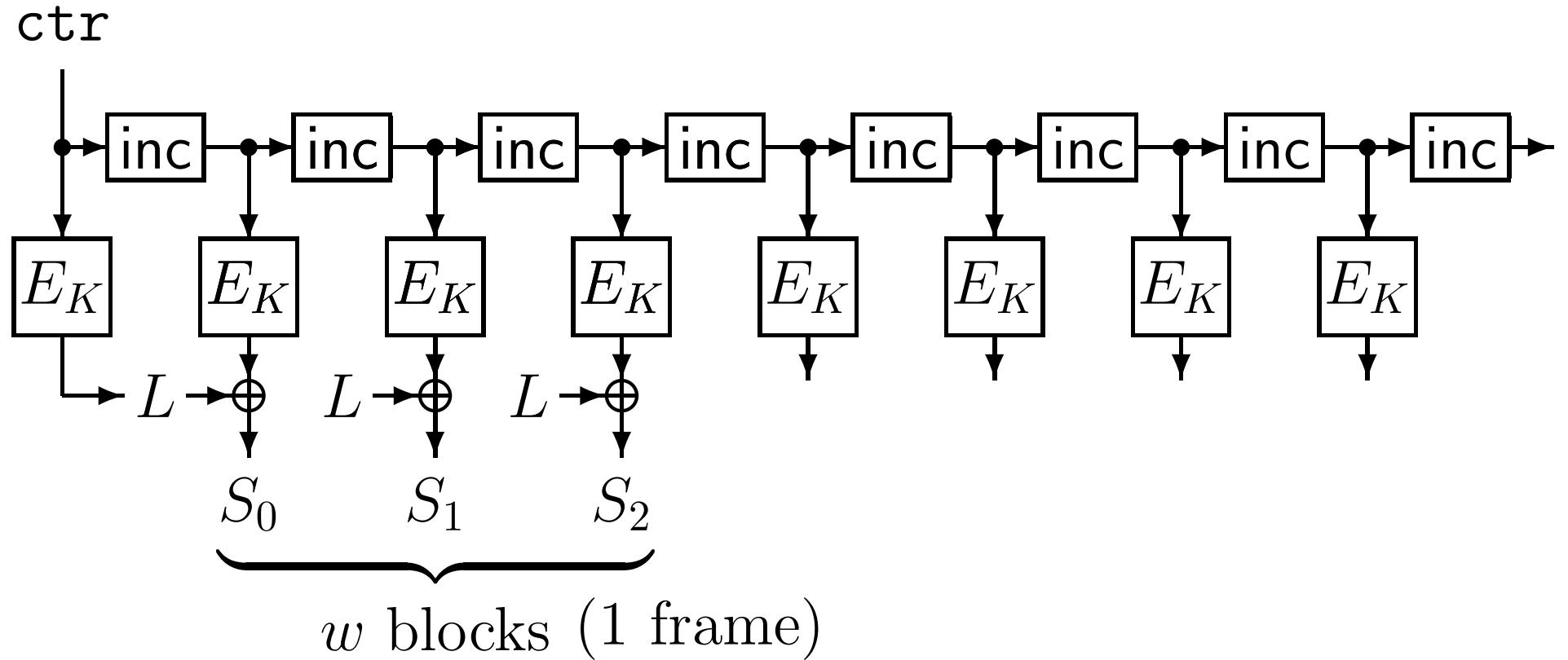


# Keystream Generation Part of CENC



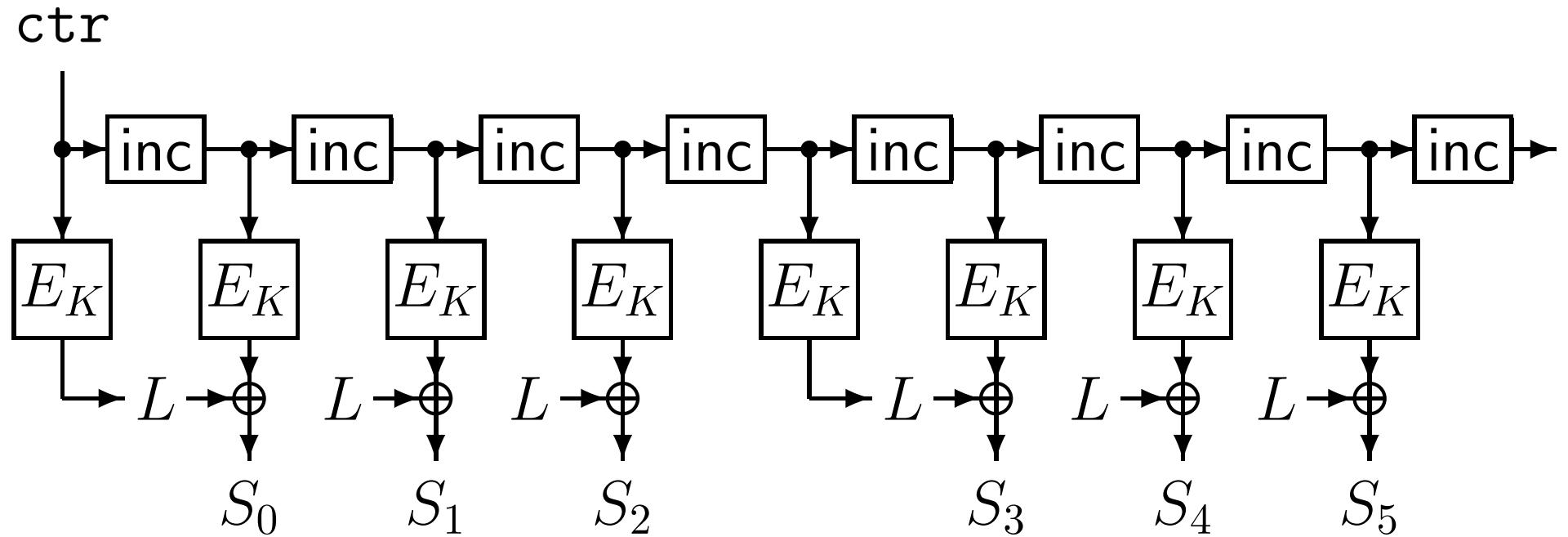
- $L$ : mask

# Keystream Generation Part of CENC

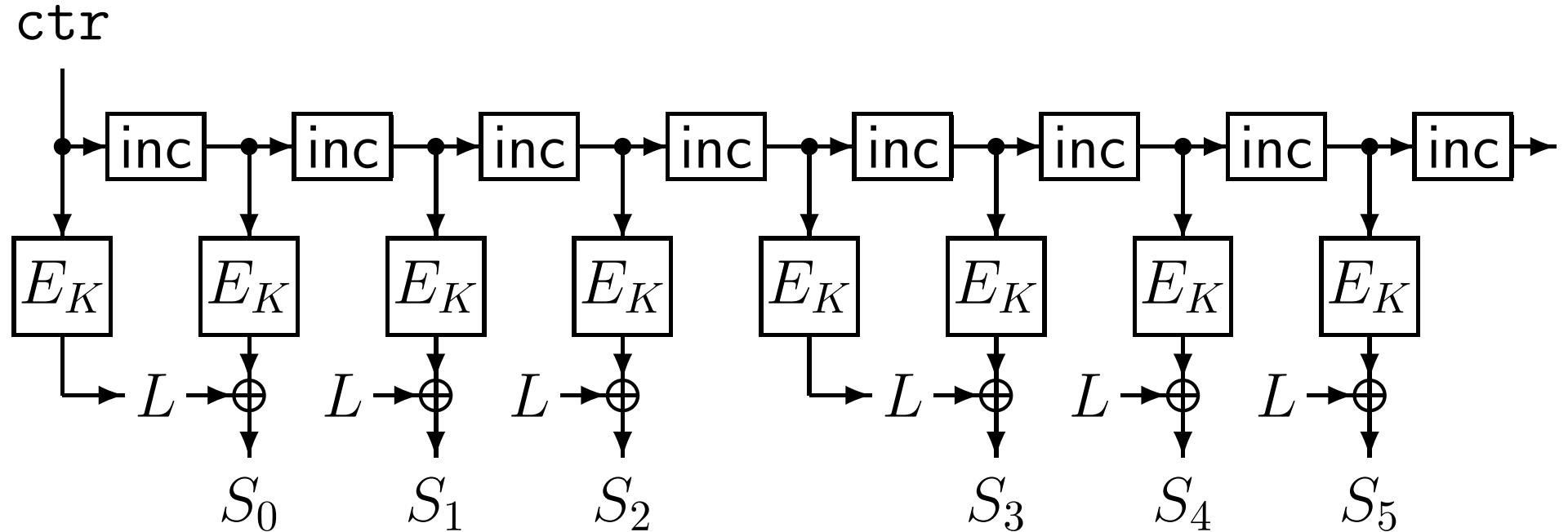


- $w$ : frame width, default:  $w = 2^8 = 256$

# Keystream Generation Part of CENC

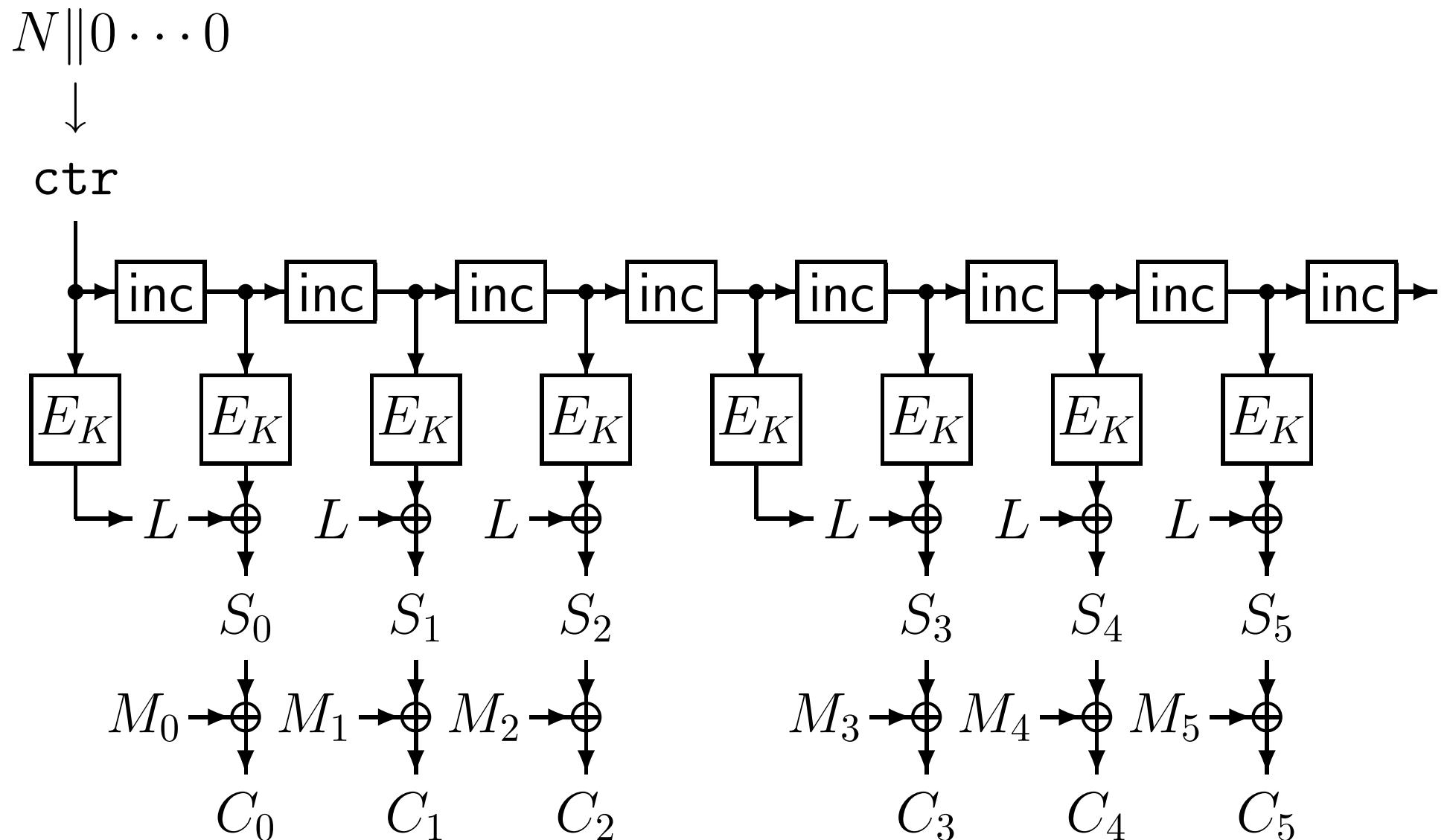


# Keystream Generation Part of CENC



- $N$ : Nonce,  $\text{ctr} \leftarrow N \| 0 \cdots 0$
- default:  $|N| = \ell_{\text{nonce}} = n/2$

# Encryption Algorithm of CENC

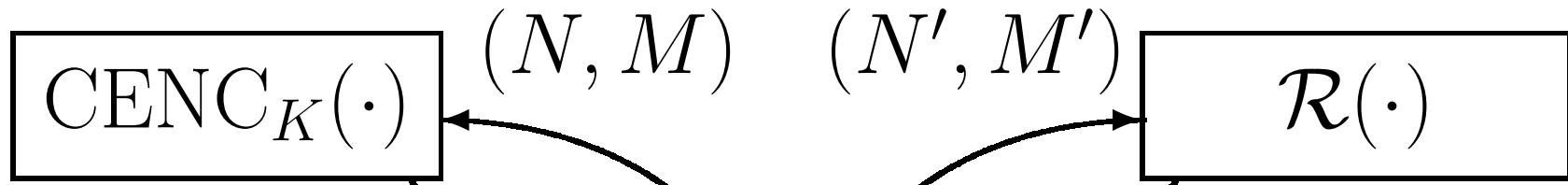


# Advantages of CENC

- ▷ provable security — beyond the birthday bound
- security proofs with the standard PRP assumption
- ▷ highly efficient — small cost
- single blockcipher key
- fully parallelizable
- allows precomputation of keystream
- allows random access

# Indistinguishability from Random Strings

Encryption Oracle



$$C = \text{CENC}_K(N, M)$$

Random String Oracle



$$C' = \text{random string}$$

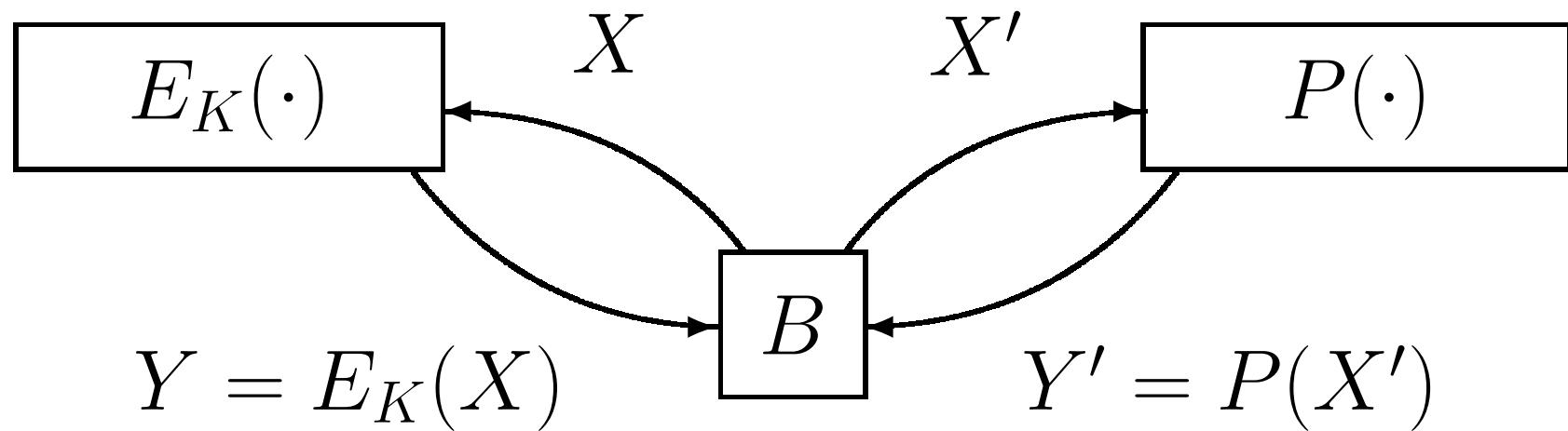
$A$  must not repeat nonce

$$\mathbf{Adv}_{\text{CENC}}^{\text{priv}}(A) \stackrel{\text{def}}{=} \left| \Pr_K(A^{\text{CENC}_K(\cdot, \cdot)} = 1) - \Pr_{\mathcal{R}}(A^{\mathcal{R}(\cdot, \cdot)} = 1) \right|$$

# Security Definition for $E$ (PRP, LR '88)

Blockcipher Oracle

Random Permutation  
Oracle



$$\mathbf{Adv}_E^{\text{prp}}(B) \stackrel{\text{def}}{=} \left| \Pr_K(B^{E_K(\cdot)} = 1) - \Pr_P(B^{P(\cdot)} = 1) \right|$$

**Theorem.** If there exists  $A$  against CENC such that:

- at most  $q$  queries, and
- at most  $\sigma$  blocks,

then there exists  $B$  against  $E$  such that:

- $time(B) = time(A) + O(n\hat{\sigma}w)$ ,
- at most  $(w+1)\hat{\sigma}/w$  queries, and
- $\mathbf{Adv}_E^{\text{prp}}(B) \geq \mathbf{Adv}_{\text{CENC}}^{\text{priv}}(A) - \frac{w\hat{\sigma}^3}{2^{2n-3}} - \frac{w\hat{\sigma}}{2^n}$ ,

where  $\hat{\sigma} = \sigma + qw$ .

# Interpretation

- CENC is secure up to  $2^{82}$  blocks (AES,  $w = 2^8$ ).
  - ▷ CTR is secure up to  $2^{64}$  blocks.

If we encrypt  $\sigma \leq 2^{n/2}$  blocks,

- $\mathbf{Adv}_{\text{CENC}}^{\text{priv}}(A) \leq \frac{w\hat{\sigma}^3}{2^{2n-3}} + \frac{w\hat{\sigma}}{2^n} \leq \frac{2w\hat{\sigma}}{2^n}$
- ▷  $\mathbf{Adv}_{\text{CTR}}^{\text{priv}}(A) \leq \frac{0.5\sigma^2}{2^n}$  (w: constant,  $\hat{\sigma} \approx \sigma$ )

# Cost for the Security Improvement

$w + 1$  blockcipher calls for  $w$  blocks of keystream

- 257 calls to encrypt 256 blocks (Default:  $w = 2^8$ )
  - ▷ The cost is  $1/257 = 0.4\%$  compared to CTR.
- 1 frame is  $w$  blocks, which is 4KBytes.
  - ▷ 99.9% of the Internet traffic is less than 1.5KBytes.
  - ▷ The cost is *one* blockcipher call compared to CTR.

# New Authenticated-Encryption Mode

CHM . . . CENC with Hash-based MAC

- CENC for privacy.
- Hash-based MAC (Wegman-Carter MAC) for authenticity.
- Beyond the birthday bound security.
- Similar to GCM by McGrew & Viega.

# Open Question

▷ The security bound of CTR is tight.

- $\forall A, \mathbf{Adv}_{\text{CTR}}^{\text{priv}}(A) \leq 0.5\sigma(\sigma - 1)/2^n$
- $\exists A, \mathbf{Adv}_{\text{CTR}}^{\text{priv}}(A) > 0.3\sigma(\sigma - 1)/2^n$

$$\forall A, \mathbf{Adv}_{\text{CENC}}^{\text{priv}}(A) \leq w\hat{\sigma}^3/2^{2n-3} + w\hat{\sigma}/2^n$$

▷ Improve the security bound

▷ Attack with  $\mathbf{Adv}_{\text{CENC}}^{\text{priv}}(A) > \Omega(w\hat{\sigma}^3/2^{2n-3} + w\hat{\sigma}/2^n)$

# Conjecture

The security bound can be improved.

$$\forall A, \mathbf{Adv}_{\text{CENC}}^{\text{priv}}(A) \leq O(w\hat{\sigma}/2^n)$$

# Conclusion

- New encryption mode, CENC
- New AE mode, CHM
- beyond the birthday bound security

## Questions?

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